

This brochure supplement provides information about Matthew G. Deller that supplements the Sound Financial Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Matthew G. Deller, Managing Member if you did not receive Sound Financial Investment Management, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Matthew G. Deller is also available on the SEC's website at www.adviserinfo.sec.gov.

Sound Financial Investment Management, LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Matthew G. Deller

Personal CRD Number: 2772223

Investment Adviser Representative

Sound Financial Investment Management, LLC

320 James E. Bohanan Drive

Vandalia, Ohio, 45377

(937) 264-9300

mattdeller@soundfinancial.net

www.Soundfinancial.net

UPDATED: 03/14/2016

Item 2: Educational Background and Business Experience

Name: Matthew G. Deller **Born:** 1973

Education Background and Professional Designations:

Education:

BS Financial Services, Wright State University - 1996

MA Psychology with concentration in Organizational Development and Leadership, University of the Rockies - 2015

Designations:

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics - Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Business Background:

01/2014 – Present	Owner/Investment Adviser Representative Dynamic Employee Solutions, LLC
03/2012 – Present	Managing Member/Chief Compliance Officer Sound Financial Investment Management, LLC
08/2012 – Present	Registered Representative Triad-Advisors
12/2002 – Present	President Sound Financial Solutions, Inc.
07/2008 – 08/2012	Registered Representative Lincoln Financial Securities Corporation
08/2007 – 08/2012	Investment Adviser Representative Independent Advisers Group

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Matthew G. Deller is a registered representative of Triad-Advisors, and a licensed insurance agent, a co-owner of Dynamic Employee Solutions, and a co-owner of Sound Financial Solutions, Inc, an insurance agency. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. Sound Financial Investment Management, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Sound Financial Investment Management, LLC in their capacity as a registered representative or licensed insurance agent.

Matthew G. Deller is co-owner of Dynamic Employee Solutions, an RIA. From time to time, he may offer clients advice or products from those activities and clients should be aware that these services may involve a conflict of interest. Sound Financial Investment Management, LLC always acts in the best interest of the client and clients are in no way required to utilize the services of any representative of Sound Financial Investment Management, LLC in such individual's outside capacities.

Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Matthew G. Deller does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Sound Financial Investment Management, LLC.

Item 6: Supervision

As a co-owner and representative of Sound Financial Investment Management, LLC, Matthew G. Deller works closely with co-owner, Christopher M. Strehle, to supervise all duties and activities of the firm. Matthew G. Deller's contact information is on the cover page of this disclosure document. Matthew G. Deller and Christopher M. Strehle adhere to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

Item 7: Requirements For State Registered Advisers

This disclosure is required by Ohio securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Matthew G. Deller has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Matthew G. Deller has **NOT** been the subject of a bankruptcy petition at any time.